

Dated: 31<sup>st</sup> May 2019

### CORRIGENDUM III

**Subject: Request for Proposal (RFP) for Consultancy for Evaluation of Centrally Sponsored Schemes under Package 9 - Water Resources, Environment and Forest Sector- Changes in RFP - regarding**

1. This Corrigendum forms an integral part of the RFP.
2. Pursuant to the release of the RFP for Selection of Consultants to conduct Evaluation Studies for Centrally Sponsored Schemes under Package 9 - **Water Resource, Environment and Forest Sector** issued by NITI Aayog on 6th May 2019, following are the amendments in the RFP. The deletions in the earlier text of the RFP are indicated as strikethrough and the additions are underlined:

| SNo   | Clause No.  | Provision in the RFP   |                   |                  |   |   |                            |   |                                  |   |                             |   |
|---|---|--|-------------------|------------------|---|---|----------------------------|---|----------------------------------|---|-----------------------------|---|
| (1)   | Clause 1.6  | <p><b>Brief description of the Selection Process</b><br/>In the first stage, a technical evaluation will be carried out as specified in Clause 3.1 <u>alongwith presentations to be made by the Applicants who fulfil the eligibility conditions as specified in clauses 2.2.2 (A) and 2.2.2 (B). Schedule for the presentations will be notified to the Applicants individually.</u> Based on <del>thise</del> technical evaluation, a list of short-listed applicants shall be prepared as specified in Clause 3.2.</p>  |                   |                  |   |   |                            |   |                                  |   |                             |   |
| (2)   | Clause 1.8.   | <p><b>Schedule of Selection Process</b><br/>The Authority would endeavour to adhere to the following schedule:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center;">Event Description</th> <th style="text-align: center;">Date</th> </tr> </thead> <tbody> <tr> <td>1. Last date for receiving queries/clarifications</td> <td style="text-align: center;"><del>{20 days prior to PDD}</del><br/><u>16th May 2019</u></td> </tr> <tr> <td>2. Pre-Proposal Conference</td> <td style="text-align: center;"><del>{16 days prior to PDD}</del><br/><u>20th May 2019</u></td> </tr> <tr> <td>3. Authority response to queries</td> <td style="text-align: center;"><del>{11 days prior to PDD}</del><br/><u>31st May 2019</u></td> </tr> <tr> <td>4. Proposal Due Date or PDD</td> <td style="text-align: center;"><del>4th June 2019</del><br/><u>24th June 2019</u></td> </tr> </tbody> </table> | Event Description | Date             | 1. Last date for receiving queries/clarifications | <del>{20 days prior to PDD}</del><br><u>16th May 2019</u> | 2. Pre-Proposal Conference | <del>{16 days prior to PDD}</del><br><u>20th May 2019</u> | 3. Authority response to queries | <del>{11 days prior to PDD}</del><br><u>31st May 2019</u> | 4. Proposal Due Date or PDD | <del>4th June 2019</del><br><u>24th June 2019</u> |
| Event Description                                 | Date  |  |                   |                  |   |   |                            |   |                                  |   |                             |   |
| 1. Last date for receiving queries/clarifications | <del>{20 days prior to PDD}</del><br><u>16th May 2019</u> |  |                   |                  |   |   |                            |   |                                  |   |                             |   |
| 2. Pre-Proposal Conference                        | <del>{16 days prior to PDD}</del><br><u>20th May 2019</u> |  |                   |                  |   |   |                            |   |                                  |   |                             |   |
| 3. Authority response to queries                  | <del>{11 days prior to PDD}</del><br><u>31st May 2019</u> |  |                   |                  |   |   |                            |   |                                  |   |                             |   |
| 4. Proposal Due Date or PDD                       | <del>4th June 2019</del><br><u>24th June 2019</u>         |  |                   |                  |   |   |                            |   |                                  |   |                             |   |
| (3)   | Clause 2.1.4  | <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center;">Key Personnel</th> <th style="text-align: center;">Responsibilities</th> </tr> </thead> <tbody> <tr> <td style="height: 20px;"></td> <td></td> </tr> </tbody> </table>   | Key Personnel     | Responsibilities |   |   |                            |   |                                  |   |                             |   |
| Key Personnel                                     | Responsibilities  |  |                   |                  |   |   |                            |   |                                  |   |                             |   |
|   |   |  |                   |                  |   |   |                            |   |                                  |   |                             |   |

|  |   | <table border="1"> <tr> <td><b>Environment, Climate Change &amp; Sustainability Specialist</b></td> <td> <p>S/He will be responsible for providing: _____</p> <ul style="list-style-type: none"> <li>• <del>Expertise on assessing the integration of environmental sustainability and climate change in the programmes.</del></li> <li>• <del>Analysis, insights and inputs focussing on the cross-sectional themes of Climate change and sustainability</del></li> </ul> <p>S/He shall spend at least 20 (twenty) days on the Project.</p> </td> </tr> <tr> <td><b>Environment, Forestry, Wildlife and Climate Change Specialist</b></td> <td> <p>S/he shall spend at least 30 (<del>twenty</del> <u>thirty</u>) days on the Project.</p> </td> </tr> </table>   | <b>Environment, Climate Change &amp; Sustainability Specialist</b> | <p>S/He will be responsible for providing: _____</p> <ul style="list-style-type: none"> <li>• <del>Expertise on assessing the integration of environmental sustainability and climate change in the programmes.</del></li> <li>• <del>Analysis, insights and inputs focussing on the cross-sectional themes of Climate change and sustainability</del></li> </ul> <p>S/He shall spend at least 20 (twenty) days on the Project.</p> | <b>Environment, Forestry, Wildlife and Climate Change Specialist</b> | <p>S/he shall spend at least 30 (<del>twenty</del> <u>thirty</u>) days on the Project.</p>  |   |  |                  |  |
|--|---|--|--|---|--|---|---|--|------------------|--|
| <b>Environment, Climate Change &amp; Sustainability Specialist</b>   | <p>S/He will be responsible for providing: _____</p> <ul style="list-style-type: none"> <li>• <del>Expertise on assessing the integration of environmental sustainability and climate change in the programmes.</del></li> <li>• <del>Analysis, insights and inputs focussing on the cross-sectional themes of Climate change and sustainability</del></li> </ul> <p>S/He shall spend at least 20 (twenty) days on the Project.</p> |  |  |   |  |   |   |  |                  |  |
| <b>Environment, Forestry, Wildlife and Climate Change Specialist</b> | <p>S/he shall spend at least 30 (<del>twenty</del> <u>thirty</u>) days on the Project.</p>  |  |  |   |  |   |   |  |                  |  |
| (4)  | Clause 2.2.2 (B)  | <p><b>Financial Capacity:</b> The Applicant shall have received a minimum income of Rs. 50 (fifty) crores per annum from professional fees during each of the 3 (three) financial years <del>preceding the Proposal Due Date</del> <u>FY 2015-16, FY 2016-17 and FY 2017-18.</u></p>   |  |   |  |   |   |  |                  |  |
| (5)  | Clause 2.2.2 (D): Experience on Eligible Assignments  | <table border="1"> <thead> <tr> <th>Key Personnel</th> <th>Experience on Eligible Assignments</th> </tr> </thead> <tbody> <tr> <td><b>Team Leader</b></td> <td>S/He should have led the team for <del>2 (two)</del> 3 (three) Eligible General Assignments <del>and 1 (one) Eligible Specific Assignment.</del></td> </tr> <tr> <td><b>Deputy Team Leader</b></td> <td>S/He should have participated in at least <del>2 (two)</del> 3 (three) Eligible General Assignments <del>and 1 (one) Eligible Specific Assignment.</del></td> </tr> </tbody> </table>   | Key Personnel  | Experience on Eligible Assignments  | <b>Team Leader</b>   | S/He should have led the team for <del>2 (two)</del> 3 (three) Eligible General Assignments <del>and 1 (one) Eligible Specific Assignment.</del>                        | <b>Deputy Team Leader</b>               | S/He should have participated in at least <del>2 (two)</del> 3 (three) Eligible General Assignments <del>and 1 (one) Eligible Specific Assignment.</del>   |                  |  |
| Key Personnel  | Experience on Eligible Assignments  |  |  |   |  |   |   |  |                  |  |
| <b>Team Leader</b>   | S/He should have led the team for <del>2 (two)</del> 3 (three) Eligible General Assignments <del>and 1 (one) Eligible Specific Assignment.</del>  |  |  |   |  |   |   |  |                  |  |
| <b>Deputy Team Leader</b>  | S/He should have participated in at least <del>2 (two)</del> 3 (three) Eligible General Assignments <del>and 1 (one) Eligible Specific Assignment.</del>  |  |  |   |  |   |   |  |                  |  |
| (6)  | Clause 2.2.2 (D): Educational Qualification   | <table border="1"> <thead> <tr> <th>Key Personnel</th> <th>Educational Qualification</th> </tr> </thead> <tbody> <tr> <td><b>Team Leader</b></td> <td>Postgraduate degree <u>or equivalent</u> in Natural Resource Management, Environmental Sciences, or related fields. Project Management certification and Ph D preferred</td> </tr> <tr> <td><b>Monitoring and Evaluation Expert</b></td> <td>Postgraduate degree <u>or equivalent</u> in International Development, Economics, Econometrics, Political Science, Statistics or related field. Diploma/training courses in M&amp;E or Evaluations research preferred.</td> </tr> <tr> <td><b>Economist</b></td> <td>Postgraduate degree <u>or equivalent</u>, preferably PhD, in Economics, Econometrics or related field(s).</td> </tr> </tbody> </table> | Key Personnel  | Educational Qualification   | <b>Team Leader</b>   | Postgraduate degree <u>or equivalent</u> in Natural Resource Management, Environmental Sciences, or related fields. Project Management certification and Ph D preferred | <b>Monitoring and Evaluation Expert</b> | Postgraduate degree <u>or equivalent</u> in International Development, Economics, Econometrics, Political Science, Statistics or related field. Diploma/training courses in M&E or Evaluations research preferred. | <b>Economist</b> | Postgraduate degree <u>or equivalent</u> , preferably PhD, in Economics, Econometrics or related field(s). |
| Key Personnel  | Educational Qualification   |  |  |   |  |   |   |  |                  |  |
| <b>Team Leader</b>   | Postgraduate degree <u>or equivalent</u> in Natural Resource Management, Environmental Sciences, or related fields. Project Management certification and Ph D preferred   |  |  |   |  |   |   |  |                  |  |
| <b>Monitoring and Evaluation Expert</b>                              | Postgraduate degree <u>or equivalent</u> in International Development, Economics, Econometrics, Political Science, Statistics or related field. Diploma/training courses in M&E or Evaluations research preferred.  |  |  |   |  |   |   |  |                  |  |
| <b>Economist</b>   | Postgraduate degree <u>or equivalent</u> , preferably PhD, in Economics, Econometrics or related field(s).  |  |  |   |  |   |   |  |                  |  |

|  |   |  |
|--|---|--|
|  | <b>Water Resources Lead</b>   | Postgraduate degree <u>or equivalent</u> in Rural Development, Rural Management, Development studies or related fields.  |
|  | <b>Environment, Forestry, Wildlife and Climate Change Lead</b>        | Postgraduate degree <u>or equivalent</u> in Environmental Sciences, Forestry, Wildlife, or related fields.   |
|  | <b>Finance Specialist</b>   | Postgraduate degree <u>or equivalent</u> in Finance, Economics, Development Economics, Public Finance, Commerce or Bachelors with CA/ICWA or related field(s)  |
|  | <b>Statistician</b>   | Postgraduate degree <u>or equivalent</u> in Statistics, Economics, Econometrics, Mathematics or related field(s)   |
|  | <b>Public Institution Specialist</b>                                  | Postgraduate degree <u>or equivalent</u> in Public Policy, Governance, Public Administration or related fields or Post graduate degree <u>or equivalent</u> in any discipline with at least 5 years of experience in Public Administration/Public Policy |
|  | <b>Gender and Social Inclusion Specialist</b>                         | Postgraduate degree <u>or equivalent</u> in social sciences, preferably Gender Studies, Social Inclusion etc. or related fields. Ph.D. is preferred.   |
|  | <b>Information Technology Specialist</b>                              | B.Tech/ B.E. or equivalent in Information Technology, Computer Applications OR Masters degree <u>or equivalent</u> in Computer Applications or related fields  |
|  | <b>Safeguards Specialist</b>  | Postgraduate <u>or equivalent</u> in Anthropology, Sociology, Applied Social Science or other related fields.  |
|  | <b>Water Resources Management Specialist</b>                          | Post-graduate <u>or equivalent</u> in Water Resources and related fields   |
|  | <b>Flood Control, Management and Drainage Specialist</b>              | Bachelor degree <u>or equivalent</u> in Civil Engineering and post-graduate <u>or equivalent</u> in Water Resources and related fields / MSc. <u>or equivalent</u> in Geography  |
|  | <b>Water Resources Infrastructure and Micro-Irrigation Specialist</b> | Bachelor degree <u>or equivalent</u> in Civil Engineering / Agriculture engineering and post-graduate in Water Resources and related fields  |
|  | <b>Environment, Forestry,</b>   | Postgraduate degree <u>or equivalent</u> in Sustainability/ Sustainable  |

|   |   |  |   |   |   |  |                                  |  |
|---|---|--|---|---|---|--|----------------------------------|--|
|   |   | <table border="1"> <tr> <td><b>Wildlife and Climate Change Specialist</b></td> <td>Development/ Environmental Sciences/ Environmental Engineering/ Environmental Planning/ Wildlife Sciences or related field(s)</td> </tr> <tr> <td><b>Aquatic Habitats Sector Specialist</b></td> <td>Bachelor degree <u>or equivalent</u> in Limnology / Wetland Sciences/ Fisheries/ Aquatic Sciences and other related fields</td> </tr> <tr> <td><b>Tribal Welfare Specialist</b></td> <td>Bachelor degree <u>or equivalent</u> in Social Sciences and other related fields</td> </tr> </table>  | <b>Wildlife and Climate Change Specialist</b> | Development/ Environmental Sciences/ Environmental Engineering/ Environmental Planning/ Wildlife Sciences or related field(s) | <b>Aquatic Habitats Sector Specialist</b> | Bachelor degree <u>or equivalent</u> in Limnology / Wetland Sciences/ Fisheries/ Aquatic Sciences and other related fields | <b>Tribal Welfare Specialist</b> | Bachelor degree <u>or equivalent</u> in Social Sciences and other related fields |
| <b>Wildlife and Climate Change Specialist</b> | Development/ Environmental Sciences/ Environmental Engineering/ Environmental Planning/ Wildlife Sciences or related field(s) |  |   |   |   |  |                                  |  |
| <b>Aquatic Habitats Sector Specialist</b>     | Bachelor degree <u>or equivalent</u> in Limnology / Wetland Sciences/ Fisheries/ Aquatic Sciences and other related fields    |  |   |   |   |  |                                  |  |
| <b>Tribal Welfare Specialist</b>              | Bachelor degree <u>or equivalent</u> in Social Sciences and other related fields  |  |   |   |   |  |                                  |  |
| (7)   | Clause 2.2.3  | <p>The Applicant shall enclose with its Proposal, certificate(s) from its Statutory Auditors<sup>2</sup>\$ stating its total revenues from professional fees during each of the 3 (three) financial years <del>preceding the PDD</del> <u>FY 2015-16, FY 2016-17 and FY 2017-18</u> and the fee received in respect of each of the Eligible Assignments specified in the Proposal. In the event that the Applicant does not have a statutory auditor, it shall provide the requisite certificate(s) from the firm of Chartered Accountants that ordinarily audits the annual accounts of the Applicant.</p> <p><u>However, for the Eligible Assignments, the Applicant can also provide requisite certificate(s) from its chartered accountant that ordinarily audits the annual accounts of the Applicant.</u></p>                                      |   |   |   |  |                                  |  |
| (8)   | Clause 2.2.3, Footnote 2\$  | <p><del>No separate annual financial statements should be submitted.</del></p> <p><u>Please do not attach complete printed annual financial statements. In case relevant extracts of duly audited annual financial statements containing the requisite details are provided, duly countersigned by the authorised signatory, a separate certification by statutory auditors would not be necessary in respect of clause 2.2.3.</u></p>   |   |   |   |  |                                  |  |
| (9)   | Clause 2.3.4  | <p>An Applicant eventually appointed to provide Consultancy for this Project, and its Associates, shall be disqualified from subsequently providing goods or works or services related to the construction and operation of the same Project and any breach of this obligation shall be construed as Conflict of Interest; provided that the restriction herein shall not apply after a period of <del>5 (five) years</del> <u>6 (six) months</u> from the completion of this assignment or to consulting assignments granted by banks/ lenders at any time; provided further that this restriction shall not apply to consultancy/ advisory services performed for the Authority in continuation of this Consultancy or to any subsequent consultancy/ advisory services performed for the Authority in accordance with the rules of the Authority.</p> |   |   |   |  |                                  |  |
| (10)  | Clause 2.4  | <p>However, no Applicant shall be selected for more than 5 (five) sectors/packages; <del>of which only 3 (three) may be</del> as Lead Member.</p>  |   |   |   |  |                                  |  |
| (11)  | Clause 2.14.2. (g)  | <p>(g) the CVs have been recently signed and dated in blue ink by the respective Personnel and countersigned by the Applicant. <del>Photocopy or unsigned / countersigned CVs shall be rejected;</del> <u>A copy of the CV signed by Key Personnel, duly countersigned by the authorised signatory, shall be accepted. Unsigned CVs shall be rejected;</u></p>   |   |   |   |  |                                  |  |
| (12)  | Clause 2.27   | <p><b>Indemnity</b></p> <p>The Consultant shall, subject to the provisions of the Agreement, indemnify the Authority for an amount not exceeding <del>3 (three) times</del> the value of the</p>   |   |   |   |  |                                  |  |

|      |   | Agreement for any direct loss or damage that is caused due to any deficiency in services.   |  |               |          |           |           |               |          |    |   |  |  |
|------|---|---|--|---------------|----------|-----------|-----------|---------------|----------|----|---|--|--|
| (13) | Clause 3.1.2                                    | In case the Selected Applicant has one Key Personnel, other than the Team Leader, who scores less than 60% marks, he would have to be replaced within 2 (two) working days during negotiations, with a better candidate who, in the opinion of the Authority, would score 60% (sixty per cent) or above. <u>In case a non-core Key Personnel is a part of the selected Consultancy Team across multiple packages, s/he would have to be replaced within 2 (two) working days during negotiations with an equally or better qualified and experienced personnel being provided to the satisfaction of the Authority.</u>   |  |               |          |           |           |               |          |    |   |  |  |
| (14) | Clause 3.1.3, Item Code 1.                      | <table border="1"> <thead> <tr> <th>Item Code</th> <th>Parameter</th> <th colspan="2">Criteria</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td><b>Relevant Experience of the Applicant</b></td> <td colspan="2"> <u>10% of the maximum marks for each Applicant shall be awarded overall professional income and experience of the firm.</u><br/>           30% of the maximum marks shall be awarded for the number of Eligible Assignments undertaken by the Applicant firm.<br/>           The remaining <del>70%</del> 60% shall be awarded for: <del>(i) the comparative size and quality of Eligible General and Specific Assignments;</del> <br/> <del>(ii) overall professional income, experience and capacity of the firm.</del> </td> </tr> </tbody> </table> |  |               |          | Item Code | Parameter | Criteria      |          | 1. | <b>Relevant Experience of the Applicant</b>     | <u>10% of the maximum marks for each Applicant shall be awarded overall professional income and experience of the firm.</u><br>30% of the maximum marks shall be awarded for the number of Eligible Assignments undertaken by the Applicant firm.<br>The remaining <del>70%</del> 60% shall be awarded for: <del>(i) the comparative size and quality of Eligible General and Specific Assignments;</del><br><del>(ii) overall professional income, experience and capacity of the firm.</del> |  |
|      |   | Item Code   | Parameter  | Criteria      |          |           |           |               |          |    |   |  |  |
| 1.   | <b>Relevant Experience of the Applicant</b>     | <u>10% of the maximum marks for each Applicant shall be awarded overall professional income and experience of the firm.</u><br>30% of the maximum marks shall be awarded for the number of Eligible Assignments undertaken by the Applicant firm.<br>The remaining <del>70%</del> 60% shall be awarded for: <del>(i) the comparative size and quality of Eligible General and Specific Assignments;</del><br><del>(ii) overall professional income, experience and capacity of the firm.</del>  |  |               |          |           |           |               |          |    |   |  |  |
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| (15) | Clause 3.1.3, Item Code 2.                      | <table border="1"> <thead> <tr> <th>Item Code</th> <th>Parameter</th> <th>Maximum Marks</th> <th>Criteria</th> </tr> </thead> <tbody> <tr> <td>2.</td> <td><b>Proposed Methodology and Work Plan</b></td> <td><del>10</del> 20</td> <td>Evaluation will be based on the quality of submissions, <u>including the presentation made by the Applicant</u></td> </tr> </tbody> </table>  |  |               |          | Item Code | Parameter | Maximum Marks | Criteria | 2. | <b>Proposed Methodology and Work Plan</b>       | <del>10</del> 20   | Evaluation will be based on the quality of submissions, <u>including the presentation made by the Applicant</u>  |
|      |   | Item Code   | Parameter  | Maximum Marks | Criteria |           |           |               |          |    |   |  |  |
| 2.   | <b>Proposed Methodology and Work Plan</b>       | <del>10</del> 20  | Evaluation will be based on the quality of submissions, <u>including the presentation made by the Applicant</u>  |               |          |           |           |               |          |    |   |  |  |
|      |   |   |  |               |          |           |           |               |          |    |   |  |  |
| (16) | Clause 3.1.3, Item Code 3.                      | <table border="1"> <thead> <tr> <th>Item Code</th> <th>Parameter</th> <th>Maximum Marks</th> <th>Criteria</th> </tr> </thead> <tbody> <tr> <td>3.</td> <td><b>Relevant Experience of the Key Personnel</b></td> <td><del>65</del> 55</td> <td><u>10% of the maximum marks for each Key Personnel shall be awarded for the educational qualifications.</u> 30% of the maximum marks for each Key Personnel shall be awarded for the number of Eligible Assignments the respective Key Personnel has</td> </tr> </tbody> </table>   |  |               |          | Item Code | Parameter | Maximum Marks | Criteria | 3. | <b>Relevant Experience of the Key Personnel</b> | <del>65</del> 55   | <u>10% of the maximum marks for each Key Personnel shall be awarded for the educational qualifications.</u> 30% of the maximum marks for each Key Personnel shall be awarded for the number of Eligible Assignments the respective Key Personnel has |
|      |   | Item Code   | Parameter  | Maximum Marks | Criteria |           |           |               |          |    |   |  |  |
| 3.   | <b>Relevant Experience of the Key Personnel</b> | <del>65</del> 55  | <u>10% of the maximum marks for each Key Personnel shall be awarded for the educational qualifications.</u> 30% of the maximum marks for each Key Personnel shall be awarded for the number of Eligible Assignments the respective Key Personnel has |               |          |           |           |               |          |    |   |  |  |
|      |   |   |  |               |          |           |           |               |          |    |   |  |  |

|      |                                 |  |  |
|------|---------------------------------|--|--|
|      |                                 |  | worked on. The remaining <del>70%</del> <u>60%</u> shall be awarded for the comparative size and quality of Eligible Assignments |
|      | 3(a)                            | <b>Team Leader</b>   | <b><u>15</u> <u>14</u></b>   |
|      | 3(b)                            | <b>Deputy Team Leader</b>  | <b><u>12</u> <u>11</u></b>   |
|      | 3(c)                            | <b>Monitoring and Evaluation Expert</b>  | <b><u>10</u> <u>8</u></b>  |
|      | 3(d)                            | <b>Economist</b>   | <b><u>8</u> <u>6</u></b>   |
|      | 3 (e)                           | <b>Water Resources Lead</b>  | <b><u>10</u> <u>8</u></b>  |
|      | 3 (f)                           | <b>Environment, Forestry, Wildlife and Climate Change Lead</b>   | <b><u>10</u> <u>8</u></b>  |
| (17) | Clause 3.1.4                    | <p>Provided that the Applicant firm claiming credit for an Eligible General Assignment shall have, prior to PDD, received professional fees of at least Rs. 50 (fifty) lakhs for such assignment, and where credit is being claimed by a Key Personnel, <del>she/he should have completed the relevant assignment prior to PDD.</del> <u>the estimated cost of the assignment should be at least Rs. 50 (fifty) lakhs.</u></p> <p>Provided further that <del>if the Applicant firm is taking</del> <u>claiming</u> credit for an Eligible Specific Assignment, <u>shall have, prior to PDD, such assignment shall have been completed prior to PDD and the Applicant shall have received professional fees of at least Rs. 20 (twenty) lakhs for such assignment, and where credit is being claimed by a Key Personnel, the estimated cost of the assignment should be at least Rs. 20 (twenty) lakhs.</u></p> <p>Note: <del>Applicants cannot provide</del> <u>The same assignment(s) cannot be provided under both General and Specific Assignments mentioned above. The Applicant and Key Personnel may claim credit for Eligible Assignments that have been completed in the 5 (five) financial years preceding the PDD, or have been initiated in the 5 (five) financial years preceding the PDD and are currently ongoing.</u></p> |  |
| (18) | Schedule 1: Terms of Reference, | <p><b>Time Schedule</b><br/>The schedule will be as follows:</p>   |  |

|                  | Clause 7   | <table border="1"> <thead> <tr> <th>S No</th> <th>Activity</th> <th>Deadline</th> </tr> </thead> <tbody> <tr> <td>4</td> <td>Final date for submission of bids</td> <td><del>4th June 2019</del><br/><u>24th June 2019</u></td> </tr> <tr> <td>5</td> <td>Award of contract</td> <td><del>19th June 2019</del><br/><u>9th July 2019</u></td> </tr> </tbody> </table>  | S No         | Activity                    | Deadline               | 4       | Final date for submission of bids | <del>4th June 2019</del><br><u>24th June 2019</u> | 5              | Award of contract | <del>19th June 2019</del><br><u>9th July 2019</u> |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
|------------------|--|---|--------------|-----------------------------|------------------------|---------|-----------------------------------|---|----------------|-------------------|---|---------------------------------------|--|--------------------------------|-----|-------------------------|--------------------------------|-----|---|---|------------------|-----|--|--------------|--|-------------|
| S No             | Activity   | Deadline  |              |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
| 4                | Final date for submission of bids                                | <del>4th June 2019</del><br><u>24th June 2019</u>   |              |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
| 5                | Award of contract  | <del>19th June 2019</del><br><u>9th July 2019</u>   |              |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
| (19)             | Schedule 1: Terms of Reference, Clause 8                         | <p>Timelines for the above deliverables would be as follows:</p> <table border="1"> <thead> <tr> <th>Sr. No</th> <th>Activity</th> <th>Deadline</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Award of contract</td> <td>T</td> </tr> <tr> <td>2</td> <td>Inception report</td> <td><del>T+15 days</del> T+21 days</td> </tr> <tr> <td>3</td> <td>Finalization of inception report based on comments by NITI Aayog</td> <td><del>T+17 days</del> T+26 days</td> </tr> <tr> <td>4</td> <td>Mid-term report</td> <td><del>T+45 days</del> T+50 days</td> </tr> <tr> <td>5</td> <td>Sign-off on the mid-term report based on comments by NITI Aayog</td> <td><del>T+48 days</del> T+55 days</td> </tr> </tbody> </table>   | Sr. No       | Activity                    | Deadline               | 1       | Award of contract                 | T   | 2              | Inception report  | <del>T+15 days</del> T+21 days                    | 3                                     | Finalization of inception report based on comments by NITI Aayog | <del>T+17 days</del> T+26 days | 4   | Mid-term report         | <del>T+45 days</del> T+50 days | 5   | Sign-off on the mid-term report based on comments by NITI Aayog | <del>T+48 days</del> T+55 days                |                  |     |  |              |  |             |
| Sr. No           | Activity   | Deadline  |              |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
| 1                | Award of contract  | T   |              |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
| 2                | Inception report   | <del>T+15 days</del> T+21 days  |              |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
| 3                | Finalization of inception report based on comments by NITI Aayog | <del>T+17 days</del> T+26 days  |              |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
| 4                | Mid-term report  | <del>T+45 days</del> T+50 days  |              |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
| 5                | Sign-off on the mid-term report based on comments by NITI Aayog  | <del>T+48 days</del> T+55 days  |              |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
| (20)             | Schedule 1: Terms of Reference, Clause 9                         | <p><b>Payment Schedule</b><br/>The payment schedule linked to the specified deliverables above is given below:</p> <table border="1"> <thead> <tr> <th>Key Date No.</th> <th>Description of Deliverables</th> <th>Week No. of Submission</th> <th>Payment</th> </tr> </thead> <tbody> <tr> <td>KD1</td> <td>Inception report approved by Authority</td> <td><del>3-4</del></td> <td>20%</td> </tr> <tr> <td>KD2</td> <td>Mid-term report approved by Authority</td> <td><del>7-8</del></td> <td>30%</td> </tr> <tr> <td>KD3</td> <td>Draft evaluation report</td> <td>13</td> <td>30%</td> </tr> <tr> <td>KD4<sup>§</sup></td> <td>Final Evaluation Report approved by Authority</td> <td><del>14-15</del></td> <td>20%</td> </tr> <tr> <td></td> <td><b>Total</b></td> <td></td> <td><b>100%</b></td> </tr> </tbody> </table> <p><sup>§</sup> Excludes the time taken by the Authority in providing its comments on the draft evaluation report. The Consultant shall get one week for submission of the Final Evaluation Report after comments of the Authority are provided.</p> | Key Date No. | Description of Deliverables | Week No. of Submission | Payment | KD1                               | Inception report approved by Authority            | <del>3-4</del> | 20%               | KD2   | Mid-term report approved by Authority | <del>7-8</del>   | 30%                            | KD3 | Draft evaluation report | 13                             | 30% | KD4 <sup>§</sup>  | Final Evaluation Report approved by Authority | <del>14-15</del> | 20% |  | <b>Total</b> |  | <b>100%</b> |
| Key Date No.     | Description of Deliverables                                      | Week No. of Submission  | Payment      |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
| KD1              | Inception report approved by Authority                           | <del>3-4</del>  | 20%          |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
| KD2              | Mid-term report approved by Authority                            | <del>7-8</del>  | 30%          |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
| KD3              | Draft evaluation report  | 13  | 30%          |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
| KD4 <sup>§</sup> | Final Evaluation Report approved by Authority                    | <del>14-15</del>  | 20%          |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
|                  | <b>Total</b>   |   | <b>100%</b>  |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
| (21)             | Schedule 2: Form of Agreement, Clause 3.4.4                      | This limitation of liability specified in Clause 3.4.3 shall not affect the Consultant's liability, if any, for damage to Third Parties caused by the Consultant or any person or firm acting on behalf of the Consultant in carrying out the Services subject, however, to a limit equal to <del>3 (three) times</del> the Agreement Value.  |              |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |
| (22)             | Schedule 2:  | <b>Payment Schedule</b>   |              |                             |                        |         |                                   |   |                |                   |   |                                       |  |                                |     |                         |                                |     |   |   |                  |     |  |              |  |             |

|   |  |  |                               |                |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
|---|--|--|-------------------------------|----------------|---------------|---|------------------|-----------------------------------|------------------|-----------------------|------------------|---------------------------------------|------------------|---|------------------|--|------------------|---|------------------|------------------------------------|------------------|---------------------------|
| Form of Agreement, Annex-6  | <b>Key Date No.</b>  | <b>Description of Deliverables</b>   | <b>Week No.-of Submission</b> | <b>Payment</b> |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
|   | KD1  | Inception report approved by Authority   | <del>3</del> 4                | 20%            |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
|   | KD2  | Mid-term report approved by Authority  | 7 <del>8</del>                | 30%            |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
|   | KD3  | Draft evaluation report  | 13                            | 30%            |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
|   | KD4 <sup>§</sup>   | Final Evaluation Report approved by Authority  | 14 <del>15</del>              | 20%            |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
|   |  | <b>Total</b>   |                               | <b>100%</b>    |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
| <sup>§</sup> Excludes the time taken by the Authority in providing its comments on the draft evaluation report. The Consultant shall get one week for submission of the Final Evaluation Report after comments of the Authority are provided. |  |  |                               |                |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
| (23)  | Appendix-I, Form-5   | <p>Note: Please do not attach <del>any complete</del> printed Annual Financial Statement. <u>In case relevant extracts of duly audited Annual Financial Statements containing the requisite details are provided, duly countersigned by the authorised signatory, a separate certification by statutory auditors would not be necessary in respect of clause 2.2.3.</u></p>  |                               |                |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
| (24)  | Appendix-I, Form-6   | <table border="1"> <tr> <td><del>11</del></td> <td>Environment and Climate Change Specialist</td> </tr> <tr> <td><del>11</del> 12</td> <td>Information Technology specialist</td> </tr> <tr> <td><del>12</del> 13</td> <td>Safeguards Specialist</td> </tr> <tr> <td><del>13</del> 14</td> <td>Water Resources Management Specialist</td> </tr> <tr> <td><del>14</del> 15</td> <td>Flood Control, Management and Drainage Specialist</td> </tr> <tr> <td><del>15</del> 16</td> <td>Water Resources Infrastructure and Micro-Irrigation Specialist</td> </tr> <tr> <td><del>16</del> 17</td> <td>Environment, Forestry, Wildlife and Climate Change Specialist</td> </tr> <tr> <td><del>17</del> 18</td> <td>Aquatic Habitats Sector Specialist</td> </tr> <tr> <td><del>18</del> 19</td> <td>Tribal Welfare Specialist</td> </tr> </table> |                               |                | <del>11</del> | Environment and Climate Change Specialist | <del>11</del> 12 | Information Technology specialist | <del>12</del> 13 | Safeguards Specialist | <del>13</del> 14 | Water Resources Management Specialist | <del>14</del> 15 | Flood Control, Management and Drainage Specialist | <del>15</del> 16 | Water Resources Infrastructure and Micro-Irrigation Specialist | <del>16</del> 17 | Environment, Forestry, Wildlife and Climate Change Specialist | <del>17</del> 18 | Aquatic Habitats Sector Specialist | <del>18</del> 19 | Tribal Welfare Specialist |
| <del>11</del>   | Environment and Climate Change Specialist                      |  |                               |                |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
| <del>11</del> 12  | Information Technology specialist                              |  |                               |                |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
| <del>12</del> 13  | Safeguards Specialist  |  |                               |                |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
| <del>13</del> 14  | Water Resources Management Specialist                          |  |                               |                |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
| <del>14</del> 15  | Flood Control, Management and Drainage Specialist              |  |                               |                |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
| <del>15</del> 16  | Water Resources Infrastructure and Micro-Irrigation Specialist |  |                               |                |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
| <del>16</del> 17  | Environment, Forestry, Wildlife and Climate Change Specialist  |  |                               |                |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
| <del>17</del> 18  | Aquatic Habitats Sector Specialist                             |  |                               |                |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
| <del>18</del> 19  | Tribal Welfare Specialist                                      |  |                               |                |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
| (25)  | Appendix-I, Form-7   | 2. Methodology and Work Plan (not more than <del>four</del> eight pages)   |                               |                |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |
| (26)  | Appendix-I, Form-8   | <sup>§</sup> <del>In case the Applicant does not have a statutory auditor, it shall</del> can also provide the certificate from its chartered accountant that ordinarily audits the annual accounts of the Applicant.  |                               |                |               |   |                  |                                   |                  |                       |                  |                                       |                  |   |                  |  |                  |   |                  |                                    |                  |                           |



|      |                             |   |
|------|-----------------------------|---|
| (27) | Appendix-I, Form-9          | Column 4: Estimated <del>capital</del> cost of the Project (in Rs crore or US\$ million)  |
| (28) | Appendix-I, Form-10         | Row 7: Estimated <del>capital</del> cost of the Project (in Rs crore or US\$ million)   |
| (29) | Appendix-I, Form-10         | It is certified that the aforesaid information is true and correct to the best of my knowledge and belief.<br>(Signature and name of Key Personnel)<br>(Signature, name and designation of the authorised signatory)  |
| (30) | Appendix-I, Form-11         | Row 9: Estimated <del>capital</del> cost of the Project (in Rs crore or US\$ million)   |
| (31) | Appendix-I, Form-12, Note 2 | 2. The names and chronology of assignments included here should conform to the project-wise details submitted in <del>Form-8</del> <u>Form-9</u> of Appendix-I.   |
| (32) | Appendix-I, Form-12, Note 3 | 3. Each page of the CV shall be signed in ink and dated by both the Personnel concerned and by the Authorised Representative of the Applicant firm along with the seal of the firm. <del>Photocopies will not be considered for evaluation.</del> <u>A copy of the CV signed by Key Personnel, duly countersigned by the authorised signatory, shall be accepted. Unsigned CVs shall be rejected.</u> |

3. All the other terms and conditions of of the RFP shall remain unaffected.