

No. I-19015/01/2019-DMEO
NITI Aayog
Development Monitoring and Evaluation Office

Dated: 31st May 2019

CORRIGENDUM III

Subject: Request for Proposal (RFP) for Consultancy for Evaluation of Centrally Sponsored Schemes under Package 3 - Human Resource Development Sector - Changes in RFP - regarding

1. This Corrigendum forms an integral part of the RFP.
2. Pursuant to the release of the RFP for Selection of Consultants to conduct Evaluation Studies for Centrally Sponsored Schemes under Package 3 - **Human Resource Development Sector** issued by NITI Aayog on 6th May 2019, following are the amendments in the RFP. The deletions in the earlier text of the RFP are indicated as strikethrough and the additions are underlined:

SNo	Clause No.	Provision in the RFP										
(1)	Clause 1.6	<p>Brief description of the Selection Process In the first stage, a technical evaluation will be carried out as specified in Clause 3.1 <u>alongwith presentations to be made by the Applicants who fulfil the eligibility conditions as specified in clauses 2.2.2 (A) and 2.2.2 (B). Schedule for the presentations will be notified to the Applicants individually.</u> Based on this technical evaluation, a list of short-listed applicants shall be prepared as specified in Clause 3.2.</p>										
(2)	Clause 1.8.	<p>Schedule of Selection Process The Authority would endeavour to adhere to the following schedule:</p> <table border="1" style="width: 100%;"> <thead> <tr> <th>Event Description</th> <th>Date</th> </tr> </thead> <tbody> <tr> <td>1. Last date for receiving queries/clarifications</td> <td>[20 days prior to PDD] <u>16th May 2019</u></td> </tr> <tr> <td>2. Pre-Proposal Conference</td> <td>[16 days prior to PDD] <u>20th May 2019</u></td> </tr> <tr> <td>3. Authority response to queries</td> <td>[11 days prior to PDD] <u>31st May 2019</u></td> </tr> <tr> <td>4. Proposal Due Date or PDD</td> <td>4th June 2019 <u>24th June 2019</u></td> </tr> </tbody> </table>	Event Description	Date	1. Last date for receiving queries/clarifications	[20 days prior to PDD] <u>16th May 2019</u>	2. Pre-Proposal Conference	[16 days prior to PDD] <u>20th May 2019</u>	3. Authority response to queries	[11 days prior to PDD] <u>31st May 2019</u>	4. Proposal Due Date or PDD	4th June 2019 <u>24th June 2019</u>
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(3)	Clause 2.2.2 (B)	<p>Financial Capacity: The Applicant shall have received a minimum income of Rs. 50 (fifty) crores per annum from professional fees during each of the 3 (three) financial years preceding the Proposal Due Date <u>FY 2015-16, FY 2016-</u></p>										

		17 and FY 2017-18.	
(4)	Clause 2.2.2 (D): Experience on Eligible Assignments	Key Personnel	Experience on Eligible Assignments
		Team Leader	S/He should have led the team for 2 (two) 3 (three) Eligible General Assignments and 1 (one) Eligible Specific Assignment.
		Deputy Team Leader	S/He should have participated in at least 2 (two) 3 (three) Eligible General Assignments and 1 (one) Eligible Specific Assignment.
(5)	Clause 2.2.2 (D): Educational Qualification	Key Personnel	Educational Qualification
		Team Leader	Postgraduate degree <u>or equivalent</u> in Education, International Development, Economics, Public Policy, Political Science, Statistics, Sociology or related fields. Ph.D. and Project Management certification preferred
		Monitoring and Evaluation Expert	Postgraduate degree <u>or equivalent</u> in International Development, Economics, Econometrics, Political Science, Statistics or related field. Diploma/training courses in M&E or Evaluations research preferred.
		Economist	Postgraduate degree <u>or equivalent</u> , preferably PhD, in Economics, Econometrics or related field(s).
		Education Lead	Postgraduate <u>or equivalent</u> in education/management/economics/ social sciences/ public policy or related field. Ph.D is preferred.
		Finance Specialist	Postgraduate degree <u>or equivalent</u> in Finance, Economics, Development Economics, Public Finance, Commerce or Bachelors with CA/ICWA or related field(s)
		Statistician	Postgraduate degree <u>or equivalent</u> in Statistics, Economics, Econometrics, Mathematics or related field(s)
		Public Institution Specialist	Post graduate degree <u>or equivalent</u> in public policy, public administration, governance, etc. or related fields OR post graduate degree <u>or equivalent</u> in any discipline with at least 5 years of experience in public administration. Ph.D. is preferred.
		Gender and Social Inclusion Specialist	Postgraduate degree <u>or equivalent</u> in social sciences, preferably Gender Studies, Social Inclusion etc. or related fields. Ph.D. is preferred.
		Environment, Climate Change and	Postgraduate degree <u>or equivalent</u> in Sustainability, Sustainable Development, Environmental Sciences, Environmental Engineering, Environmental Planning

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(6)	Clause 2.2.3	<p>The Applicant shall enclose with its Proposal, certificate(s) from its Statutory Auditors² stating its total revenues from professional fees during each of the 3 (three) financial years preceding the PDD- <u>FY 2015-16, FY 2016-17 and FY 2017-18</u> and the fee received in respect of each of the Eligible Assignments specified in the Proposal. In the event that the Applicant does not have a statutory auditor, it shall provide the requisite certificate(s) from the firm of Chartered Accountants that ordinarily audits the annual accounts of the Applicant.</p> <p><u>However, for the Eligible Assignments, the Applicant can also provide requisite certificate(s) from its chartered accountant that ordinarily audits the annual accounts of the Applicant.</u></p>						
(7)	Clause 2.2.3, Footnote 2§	<p>No separate annual financial statements should be submitted.</p> <p><u>Please do not attach complete printed annual financial statements. In case relevant extracts of duly audited annual financial statements containing the requisite details are provided, duly countersigned by the authorised signatory, a separate certification by statutory auditors would not be necessary in respect of clause 2.2.3.</u></p>						
(8)	Clause 2.3.4	<p>An Applicant eventually appointed to provide Consultancy for this Project, and its Associates, shall be disqualified from subsequently providing goods or works or services related to the construction and operation of the same Project and any breach of this obligation shall be construed as Conflict of Interest; provided that the restriction herein shall not apply after a period of 5 (five) years <u>6 (six) months</u> from the completion of this assignment or to consulting assignments granted by banks/ lenders at any time; provided further that this restriction shall not apply to consultancy/ advisory services performed for the Authority in continuation of this Consultancy or to any subsequent consultancy/ advisory services performed for the Authority in accordance with the rules of the Authority.</p>						
(9)	Clause 2.4	<p>However, no Applicant shall be selected for more than 5 (five) sectors/packages, of which only 3 (three) may be as Lead Member.</p>						
(10)	Clause 2.14.2. (g)	<p>(g) the CVs have been recently signed and dated in blue ink by the respective Personnel and countersigned by the Applicant. Photocopy or unsigned / countersigned CVs shall be rejected; A copy of the CV signed by Key Personnel, duly countersigned by the authorised signatory, shall be accepted. Unsigned CVs shall be rejected;</p>						
(11)	Clause 2.27	<p>Indemnity</p> <p>The Consultant shall, subject to the provisions of the Agreement, indemnify the Authority for an amount not exceeding 3 (three) times the value of the Agreement for any direct loss or damage that is caused due to any deficiency</p>						

		in services.			
(12)	Clause 3.1.2	In case the Selected Applicant has one Key Personnel, other than the Team Leader, who scores less than 60% marks, he would have to be replaced within 2 (two) working days during negotiations, with a better candidate who, in the opinion of the Authority, would score 60% (sixty per cent) or above. <u>In case a non-core Key Personnel is a part of the selected Consultancy Team across multiple packages, s/he would have to be replaced within 2 (two) working days during negotiations with an equally or better qualified and experienced personnel being provided to the satisfaction of the Authority.</u>			
(13)	Clause 3.1.3, Item Code 1.	Item Code	Parameter	Criteria	
		1.	Relevant Experience of the Applicant	<u>10% of the maximum marks for each Applicant shall be awarded overall professional income and experience of the firm.</u> 30% of the maximum marks shall be awarded for the number of Eligible Assignments undertaken by the Applicant firm. The remaining 70% 60% shall be awarded for: (i) the comparative size and quality of Eligible General and Specific Assignments; (ii) overall professional income, experience and capacity of the firm.	
(14)	Clause 3.1.3, Item Code 2.	Item Code	Parameter	Maximum Marks	Criteria
		2.	Proposed Methodology and Work Plan	4020	Evaluation will be based on the quality of submissions, <u>including the presentation made by the Applicant</u>
(15)	Clause 3.1.3, Item Code 3.	Item Code	Parameter	Maximum Marks	Criteria
		3.	Relevant Experience of the Key Personnel	65 55	<u>10% of the maximum marks for each Key Personnel shall be awarded for the educational qualifications.</u> 30% of the maximum marks for each Key Personnel shall be awarded for the number of Eligible Assignments the respective Key Personnel has worked on. The remaining 70% <u>60%</u> shall be awarded for the comparative size and quality of Eligible Assignments

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(16)	Clause 3.1.4	<p>Provided that the Applicant firm claiming credit for an Eligible General Assignment shall have, prior to PDD, received professional fees of at least Rs. 50 (fifty) lakhs for such assignment, and where credit is being claimed by a Key Personnel, she/he should have completed the relevant assignment prior to PDD. <u>the estimated cost of the assignment should be at least Rs. 50 (fifty) lakhs.</u></p> <p>Provided further that if the Applicant firm is taking <u>claiming</u> credit for an Eligible Specific Assignment, shall have, prior to PDD, such assignment shall have been completed prior to PDD and the Applicant shall have received <u>professional fees of at least Rs. 20 (twenty) lakhs for such assignment, and where credit is being claimed by a Key Personnel, the estimated cost of the assignment should be at least Rs. 20 (twenty) lakhs.</u></p> <p>Note: Applicants cannot provide <u>The same assignment(s) cannot be provided</u> under both General and Specific Assignments mentioned above. <u>The Applicant and Key Personnel may claim credit for Eligible Assignments that have been completed in the 5 (five) financial years preceding the PDD, or have been initiated in the 5 (five) financial years preceding the PDD and are currently ongoing.</u></p>																				
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		4	Mid-term report	T+45 days T+50 days																								
		5	Sign-off on the mid-term report based on comments by NITI Aayog	T+48 days T+55 days																								
(19)	Schedule 1: Terms of Reference, Clause 9	<p>Payment Schedule The payment schedule linked to the specified deliverables above is given below:</p> <table border="1"> <thead> <tr> <th>Key Date No.</th> <th>Description of Deliverables</th> <th>Week No. of Submission</th> <th>Payment</th> </tr> </thead> <tbody> <tr> <td>KD1</td> <td>Inception report approved by Authority</td> <td>3-4</td> <td>20%</td> </tr> <tr> <td>KD2</td> <td>Mid-term report approved by Authority</td> <td>7-8</td> <td>30%</td> </tr> <tr> <td>KD3</td> <td>Draft evaluation report</td> <td>13</td> <td>30%</td> </tr> <tr> <td>KD4[§]</td> <td>Final Evaluation Report approved by Authority</td> <td>14-15</td> <td>20%</td> </tr> <tr> <td></td> <td>Total</td> <td></td> <td>100%</td> </tr> </tbody> </table> <p>[§] Excludes the time taken by the Authority in providing its comments on the draft evaluation report. The Consultant shall get one week for submission of the Final Evaluation Report after comments of the Authority are provided.</p>			Key Date No.	Description of Deliverables	Week No. of Submission	Payment	KD1	Inception report approved by Authority	3-4	20%	KD2	Mid-term report approved by Authority	7-8	30%	KD3	Draft evaluation report	13	30%	KD4 [§]	Final Evaluation Report approved by Authority	14-15	20%		Total		100%
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(20)	Schedule 2: Form of Agreement, Clause 3.4.4	This limitation of liability specified in Clause 3.4.3 shall not affect the Consultant's liability, if any, for damage to Third Parties caused by the Consultant or any person or firm acting on behalf of the Consultant in carrying out the Services subject, however, to a limit equal to 3-(three) times the Agreement Value.																										
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		the Final Evaluation Report after comments of the Authority are provided.
(22)	Appendix-I, Form-5	Note: Please do not attach any complete printed Annual Financial Statement. <u>In case relevant extracts of duly audited Annual Financial Statements containing the requisite details are provided, duly countersigned by the authorised signatory, a separate certification by statutory auditors would not be necessary in respect of clause 2.2.3.</u>
(23)	Appendix-I, Form-7	2. Methodology and Work Plan (not more than four <u>eight</u> pages)
(24)	Appendix-I, Form-8	§ In case if The Applicant does not have a statutory auditor, it shall <u>can also</u> provide the certificate from its chartered accountant that ordinarily audits the annual accounts of the Applicant.
(25)	Appendix-I, Form-9	Column 4: Estimated capital cost of the Project (in Rs crore or US\$ million)
(26)	Appendix-I, Form-10	Row 7: Estimated capital cost of the Project (in Rs crore or US\$ million)
(27)	Appendix-I, Form-10	It is certified that the aforesaid information is true and correct to the best of my knowledge and belief. (Signature and name of Key Personnel) <u>(Signature, name and designation of the authorised signatory)</u>
(28)	Appendix-I, Form-11	Row 9: Estimated capital cost of the Project (in Rs crore or US\$ million)
(29)	Appendix-I, Form-12, Note 2	2. The names and chronology of assignments included here should conform to the project-wise details submitted in Form-8 <u>Form-9</u> of Appendix-I.
(30)	Appendix-I, Form-12, Note 3	3. Each page of the CV shall be signed in ink and dated by both the Personnel concerned and by the Authorised Representative of the Applicant firm along with the seal of the firm. Photocopies will not be considered for evaluation. <u>A copy of the CV signed by Key Personnel, duly countersigned by the authorised signatory, shall be accepted. Unsigned CVs shall be rejected.</u>

3. All the other terms and conditions of the RFP shall remain unaffected.